

Leer606 - Held NMS Stocks and Options Order Routing Public Report

Generated on Mon Oct 07 2024 02:44:17 GMT-0400 (Eastern Daylight Time)

3rd Quarter, 2024

July 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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July 2024

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

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Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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July 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.02	35.93	64.05	0.00

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
XBOX	11.78	0.00	12.88	10.80	0.00	0.0000	0.0000	-34.0000	-1.2904	-87.0000	-3.3832	0.0000	0.0000
ARCO	11.23	0.00	11.70	10.81	0.00	0.0000	0.0000	-14.0000	-0.7233	-14.0000	-0.6601	0.0000	0.0000
AMXO	11.06	0.00	11.66	10.53	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0444	0.0000	0.0000
C2OX	8.55	0.00	9.06	8.09	0.00	0.0000	0.0000	-18.0000	-0.9401	-33.0000	-1.5993	0.0000	0.0000
EDGO	8.48	0.00	9.46	7.61	0.00	0.0000	0.0000	-20.0000	-0.5683	-25.0000	-1.2356	0.0000	0.0000
XISX	8.44	0.00	7.25	9.51	0.00	0.0000	0.0000	-22.0000	-1.3533	-115.0000	-6.0187	0.0000	0.0000
XCBO	7.90	0.00	8.40	7.45	0.00	0.0000	0.0000	2.0000	0.1324	0.0000	0.0171	0.0000	0.0000
XPHO	7.87	0.00	8.28	7.51	0.00	0.0000	0.0000	1.0000	0.1255	1.0000	0.1021	0.0000	0.0000
XMIO	7.84	0.00	8.19	7.53	0.00	0.0000	0.0000	2.0000	0.1856	0.0000	0.0000	0.0000	0.0000
EMLD	6.55	0.00	7.57	5.65	0.00	0.0000	0.0000	-12.0000	-1.0762	-31.0000	-2.4929	0.0000	0.0000

Material Aspects:

XBOX:

Leerink Partners LLC (LEER) utilizes Wolverine Execution Services (WEX) as an option consolidator and receives order flow payments in varying amounts from US option exchanges pursuant to the published programs that have been adopted by the exchange and approved by the SEC. WEX passes through to LEER 100 percent of the resulting exchange rebates and fees at WEX's base tier pricing schedule for the listed individual exchanges. Please see WEX's quarterly fee schedule published at the following URL: <https://www.tradewex.com/Home/Rule606>. At time of this publication, exchange rate schedules specific to this venue can be found at the following URL: <https://boxoptions.com/resources/fee-schedule/>. The source and amount of any compensation received by LEER for venues deemed significant (i.e., significant venues) are contained in the above table. Specific payment for order flow amounts in connection with any transaction for your account is available upon written request.

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August 2024

S&P 500 Stocks

Summary

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Venues

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August 2024

Non-S&P 500 Stocks

Summary

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Venues

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August 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	34.61	65.39	0.00

Venues

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XISX	10.11	0.00	10.15	10.09	0.00	0.0000	0.0000	3.0000	0.0357	-23.0000	-0.1358	0.0000	0.0000
ARCO	9.93	0.00	9.83	9.98	0.00	0.0000	0.0000	-6.0000	-0.0794	-41.0000	-0.2784	0.0000	0.0000
XBOX	9.93	0.00	9.83	9.98	0.00	0.0000	0.0000	-34.0000	-0.4043	-19.0000	-0.1298	0.0000	0.0000
XPHO	9.92	0.00	9.87	9.94	0.00	0.0000	0.0000	25.0000	0.3163	13.0000	0.0919	0.0000	0.0000
XMIO	9.91	0.00	9.87	9.93	0.00	0.0000	0.0000	14.0000	0.1862	10.0000	0.0683	0.0000	0.0000
C2OX	9.89	0.00	9.74	9.96	0.00	0.0000	0.0000	14.0000	0.1689	-26.0000	-0.1772	0.0000	0.0000
AMXO	9.88	0.00	9.74	9.95	0.00	0.0000	0.0000	22.0000	0.2913	19.0000	0.1315	0.0000	0.0000
XCBO	9.83	0.00	9.77	9.87	0.00	0.0000	0.0000	16.0000	0.1507	3.0000	0.0214	0.0000	0.0000
EDGO	9.81	0.00	9.73	9.85	0.00	0.0000	0.0000	13.0000	0.1470	-7.0000	-0.0479	0.0000	0.0000
EMLD	8.29	0.00	8.28	8.30	0.00	0.0000	0.0000	3.0000	0.0486	-17.0000	-0.1441	0.0000	0.0000

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September 2024

Options

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100.00	0.01	37.88	62.11	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
XISX	10.17	0.00	9.83	10.37	0.00	0.0000	0.0000	-49.0000	-0.8956	-21.0000	-0.2577	0.0000	0.0000
C2OX	10.10	0.00	10.00	10.16	0.00	0.0000	0.0000	-22.0000	-0.4296	-15.0000	-0.2054	0.0000	0.0000
XPHO	10.00	0.00	9.75	10.15	0.00	0.0000	0.0000	20.0000	0.3824	0.0000	0.0099	0.0000	0.0000
XCBO	9.99	0.00	9.75	10.13	0.00	0.0000	0.0000	15.0000	0.2763	0.0000	0.0090	0.0000	0.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
EDGO	9.98	0.00	9.73	10.13	0.00	0.0000	0.0000	-1.0000	-0.0367	-10.0000	-0.1422	0.0000	0.0000
AMXO	9.97	0.00	9.69	10.13	0.00	0.0000	0.0000	22.0000	0.4635	2.0000	0.0340	0.0000	0.0000
XMIO	9.97	0.00	9.68	10.13	0.00	0.0000	0.0000	12.0000	0.2733	0.0000	0.0000	0.0000	0.0000
ARCO	8.94	0.00	9.14	8.83	0.00	0.0000	0.0000	-187.0000	-4.0034	-5.0000	-0.0841	0.0000	0.0000
EMLD	8.45	0.00	8.31	8.54	0.00	0.0000	0.0000	-15.0000	-0.3716	-8.0000	-0.1266	0.0000	0.0000
XBOX	8.07	0.00	8.45	7.85	0.00	0.0000	0.0000	6.0000	0.1498	-7.0000	-0.1223	0.0000	0.0000

Material Aspects:

XISX:

Leerink Partners LLC (LEER) utilizes Wolverine Execution Services (WEX) as an option consolidator and receives order flow payments in varying amounts from US option exchanges pursuant to the published programs that have been adopted by the exchange and approved by the SEC. WEX passes through to LEER 100 percent of the resulting exchange rebates and fees at WEX's base tier pricing schedule for the listed individual exchanges. Please see WEX's quarterly fee schedule published at the following URL: <https://www.tradewex.com/Home/Rule606>. At time of this publication, exchange rate schedules specific to this venue can be found at the following URL: <https://listingcenter.nasdaq.com/rulebook/ise/rules/ise-options-7>. The source and amount of any compensation received by LEER for venues deemed significant (i.e., significant venues) are contained in the above table. specific payment for order flow amounts in connection with any transaction for your account is available upon written request.

C2OX:

Leerink Partners LLC (LEER) utilizes Wolverine Execution Services (WEX) as an option consolidator and receives order flow payments in varying amounts from US option exchanges pursuant to the published programs that have been adopted by the exchange and approved by the SEC. WEX passes through to LEER 100 percent of the resulting exchange rebates and fees at WEX's base tier pricing schedule for the listed individual exchanges. Please see WEX's quarterly fee schedule published at the following URL: <https://www.tradewex.com/Home/Rule606>. At time of this publication, exchange rate schedules specific to this venue can be found at the following URL: https://www.cboe.com/us/options/membership/fee_schedule/ctwo/. The source and amount of any compensation received by LEER for venues deemed significant (i.e., significant venues) are contained in the above table. specific payment for order flow amounts in connection with any transaction for your account is available upon written request.

XPHO:

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XCBO:

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EDGO:

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AMXO:

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XMIO:

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ARCO:

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EMLD:

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XBOX:

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