

# Leer606 - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2024

July 2024

## S&P 500 Stocks

### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 0.00                                   | 0.00                                      | 0.00  | 0.00  | 0.00                                     |

### Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |  |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|--|
|                                 |                         |                   |                             |                                 |                  |  |   |  |   |  |   |   |  |  |

July 2024

## Non-S&P 500 Stocks

### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 0.00                                   | 0.00                                      | 0.00  | 0.00  | 0.00                                     |

### Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |  |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|--|
|                                 |                         |                   |                             |                                 |                  |  |   |  |   |  |   |   |  |  |

July 2024

## Options

### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00                                 | 0.02                                      | 35.93   | 64.05   | 0.00                                     |

### Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|--|--|
| XBOX                            | 11.78                   | 0.00              | 12.88                       | 10.80                           | 0.00             | 0.0000   | 0.0000  | -34.0000   | -1.2904   | -87.0000   | -3.3832   | 0.0000   | 0.0000   |
| ARCO                            | 11.23                   | 0.00              | 11.70                       | 10.81                           | 0.00             | 0.0000   | 0.0000  | -14.0000   | -0.7233   | -14.0000   | -0.6601   | 0.0000   | 0.0000   |
| AMXO                            | 11.06                   | 0.00              | 11.66                       | 10.53                           | 0.00             | 0.0000   | 0.0000  | 0.0000   | 0.0000  | 0.0000   | 0.0444  | 0.0000   | 0.0000   |
| C2OX                            | 8.55                    | 0.00              | 9.06                        | 8.09                            | 0.00             | 0.0000   | 0.0000  | -18.0000   | -0.9401   | -33.0000   | -1.5993   | 0.0000   | 0.0000   |
| EDGO                            | 8.48                    | 0.00              | 9.46                        | 7.61                            | 0.00             | 0.0000   | 0.0000  | -20.0000   | -0.5683   | -25.0000   | -1.2356   | 0.0000   | 0.0000   |
| XISX                            | 8.44                    | 0.00              | 7.25                        | 9.51                            | 0.00             | 0.0000   | 0.0000  | -22.0000   | -1.3533   | -115.0000  | -6.0187   | 0.0000   | 0.0000   |
| XCBO                            | 7.90                    | 0.00              | 8.40                        | 7.45                            | 0.00             | 0.0000   | 0.0000  | 2.0000   | 0.1324  | 0.0000   | 0.0171  | 0.0000   | 0.0000   |
| XPHO                            | 7.87                    | 0.00              | 8.28                        | 7.51                            | 0.00             | 0.0000   | 0.0000  | 1.0000   | 0.1255  | 1.0000   | 0.1021  | 0.0000   | 0.0000   |
| XMIO                            | 7.84                    | 0.00              | 8.19                        | 7.53                            | 0.00             | 0.0000   | 0.0000  | 2.0000   | 0.1856  | 0.0000   | 0.0000  | 0.0000   | 0.0000   |
| EMLD                            | 6.55                    | 0.00              | 7.57                        | 5.65                            | 0.00             | 0.0000   | 0.0000  | -12.0000   | -1.0762   | -31.0000   | -2.4929   | 0.0000   | 0.0000   |

### Material Aspects:

#### XBOX:

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## August 2024

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### S&P 500 Stocks

#### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 0.00                                   | 0.00                                      | 0.00  | 0.00  | 0.00                                     |

#### Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|---|---|--|
|                                 |                         |                   |                             |                                 |                  |  |   |  |   |   |   |  |

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## August 2024

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### Non-S&P 500 Stocks

#### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 0.00                                   | 0.00                                      | 0.00  | 0.00  | 0.00                                     |

#### Venues

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|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|

## August 2024

#### Options

#### Summary

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|--|---|---|---|--|
| 100.00                                 | 0.00                                      | 34.61   | 65.39   | 0.00                                     |

#### Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| XISX                            | 10.11                   | 0.00              | 10.15                       | 10.09                           | 0.00             | 0.0000   | 0.0000  | 3.0000   | 0.0357  | -23.0000   | -0.1358   | 0.0000  | 0.0000   |
| ARCO                            | 9.93                    | 0.00              | 9.83                        | 9.98                            | 0.00             | 0.0000   | 0.0000  | -6.0000  | -0.0794   | -41.0000   | -0.2784   | 0.0000  | 0.0000   |
| XBOX                            | 9.93                    | 0.00              | 9.83                        | 9.98                            | 0.00             | 0.0000   | 0.0000  | -34.0000   | -0.4043   | -19.0000   | -0.1298   | 0.0000  | 0.0000   |
| XPHO                            | 9.92                    | 0.00              | 9.87                        | 9.94                            | 0.00             | 0.0000   | 0.0000  | 25.0000  | 0.3163  | 13.0000  | 0.0919  | 0.0000  | 0.0000   |
| XMIO                            | 9.91                    | 0.00              | 9.87                        | 9.93                            | 0.00             | 0.0000   | 0.0000  | 14.0000  | 0.1862  | 10.0000  | 0.0683  | 0.0000  | 0.0000   |
| C2OX                            | 9.89                    | 0.00              | 9.74                        | 9.96                            | 0.00             | 0.0000   | 0.0000  | 14.0000  | 0.1689  | -26.0000   | -0.1772   | 0.0000  | 0.0000   |
| AMXO                            | 9.88                    | 0.00              | 9.74                        | 9.95                            | 0.00             | 0.0000   | 0.0000  | 22.0000  | 0.2913  | 19.0000  | 0.1315  | 0.0000  | 0.0000   |
| XCBO                            | 9.83                    | 0.00              | 9.77                        | 9.87                            | 0.00             | 0.0000   | 0.0000  | 16.0000  | 0.1507  | 3.0000   | 0.0214  | 0.0000  | 0.0000   |
| EDGO                            | 9.81                    | 0.00              | 9.73                        | 9.85                            | 0.00             | 0.0000   | 0.0000  | 13.0000  | 0.1470  | -7.0000  | -0.0479   | 0.0000  | 0.0000   |
| EMLD                            | 8.29                    | 0.00              | 8.28                        | 8.30                            | 0.00             | 0.0000   | 0.0000  | 3.0000   | 0.0486  | -17.0000   | -0.1441   | 0.0000  | 0.0000   |

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EDGO:

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## September 2024

### S&P 500 Stocks

#### Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 0.00                                   | 0.00                                      | 0.00  | 0.00  | 0.00                                     |



| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| EDGO                            | 9.98                    | 0.00              | 9.73                        | 10.13                           | 0.00             | 0.0000   | 0.0000  | -1.0000  | -0.0367   | -10.0000   | -0.1422   | 0.0000  | 0.0000   |
| AMXO                            | 9.97                    | 0.00              | 9.69                        | 10.13                           | 0.00             | 0.0000   | 0.0000  | 22.0000  | 0.4635  | 2.0000   | 0.0340  | 0.0000  | 0.0000   |
| XMIO                            | 9.97                    | 0.00              | 9.68                        | 10.13                           | 0.00             | 0.0000   | 0.0000  | 12.0000  | 0.2733  | 0.0000   | 0.0000  | 0.0000  | 0.0000   |
| ARCO                            | 8.94                    | 0.00              | 9.14                        | 8.83                            | 0.00             | 0.0000   | 0.0000  | -187.0000  | -4.0034   | -5.0000  | -0.0841   | 0.0000  | 0.0000   |
| EMLD                            | 8.45                    | 0.00              | 8.31                        | 8.54                            | 0.00             | 0.0000   | 0.0000  | -15.0000   | -0.3716   | -8.0000  | -0.1266   | 0.0000  | 0.0000   |
| XBOX                            | 8.07                    | 0.00              | 8.45                        | 7.85                            | 0.00             | 0.0000   | 0.0000  | 6.0000   | 0.1498  | -7.0000  | -0.1223   | 0.0000  | 0.0000   |

#### Material Aspects:

XISX:

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C2OX:

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XPHO:

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